

City Resilience | Inclusion of WASH in Disaster Management Framework

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To review and input into the DRR framework, please click here



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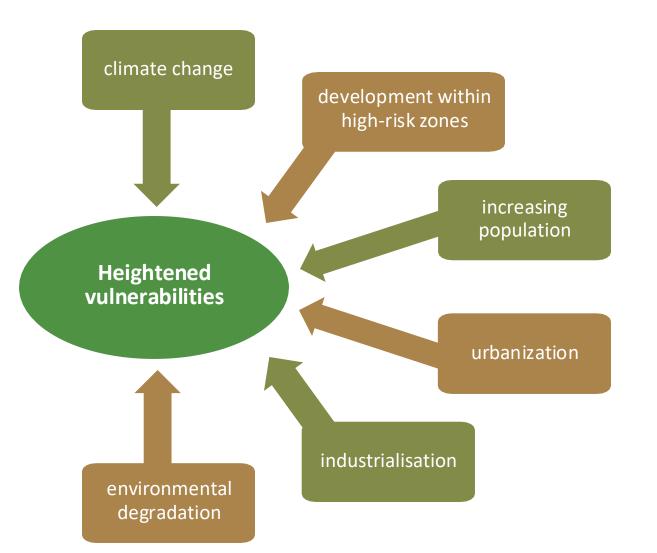


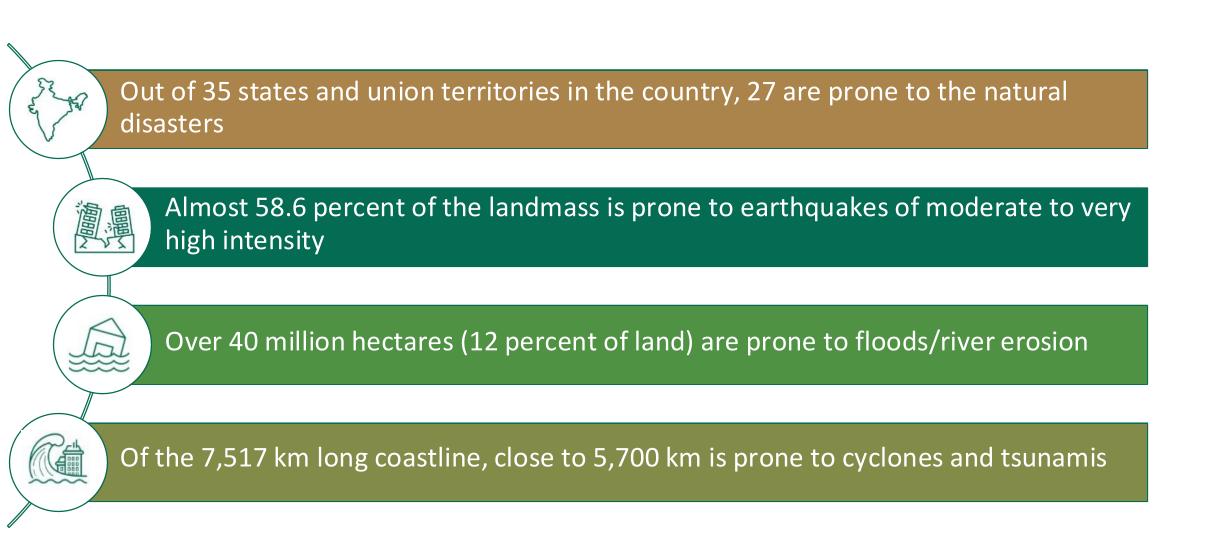
Introduction & Context





- India is one of the most disaster-prone countries in the world due to its geoclimatic conditions as well as a high degree of socio-economic vulnerability (NDMP 2019).
- India stands third worst-affected country due to climate-induced natural disasters (United Nations global assessment report on disaster risk reduction 2015).

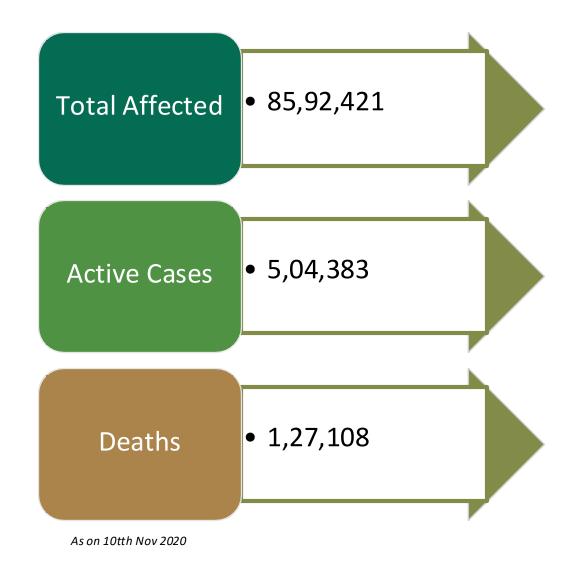








- The world has witnessed outbreaks of infectious diseases like, Ebola se, SARS, avian and pandemic influenza, MERS, Swine Flu etc.
- India largely remained insulated from pandemic till coronavirus outbreak Covid 19
- Last severe pandemic India faced Spanish Flu, claimed 12 million lives in India over a period of just three months in 1918





The urban poor in informal settlements have been disproportionately impacted during the COVID crisis

Lack of basic amenities and adequate housing in urban poor settlements



Lack of affordability heightens inability to access adequate health care





Inability to access and manage privacy and menstrual health and hygiene for women and girls



Inability to maintain personal hygiene coup led with poor sanitation in congested and unhealthy living conditions

"Unless adequate water and sanitation services are quickly provided to emergency-affected children and their families, disease and death will follow. And unless good hygiene is consistently practiced, the danger of diarrhoea, cholera and other disease outbreaks will persist. This is true in all types of emergencies, from rapid onset natural disasters to long-term crises caused by a range of complex factors." - UNICEF

Lack of WASH infrastructure increases the number of people affected due to disasters, beyond direct affected victims.

Investment in WASH can be reversed due to disasters, if DRR measures are not taken into account upfront COVID-19 pandemic spotlighted, shared water sanitation facilities and poor maintenance in slums could become hotspots





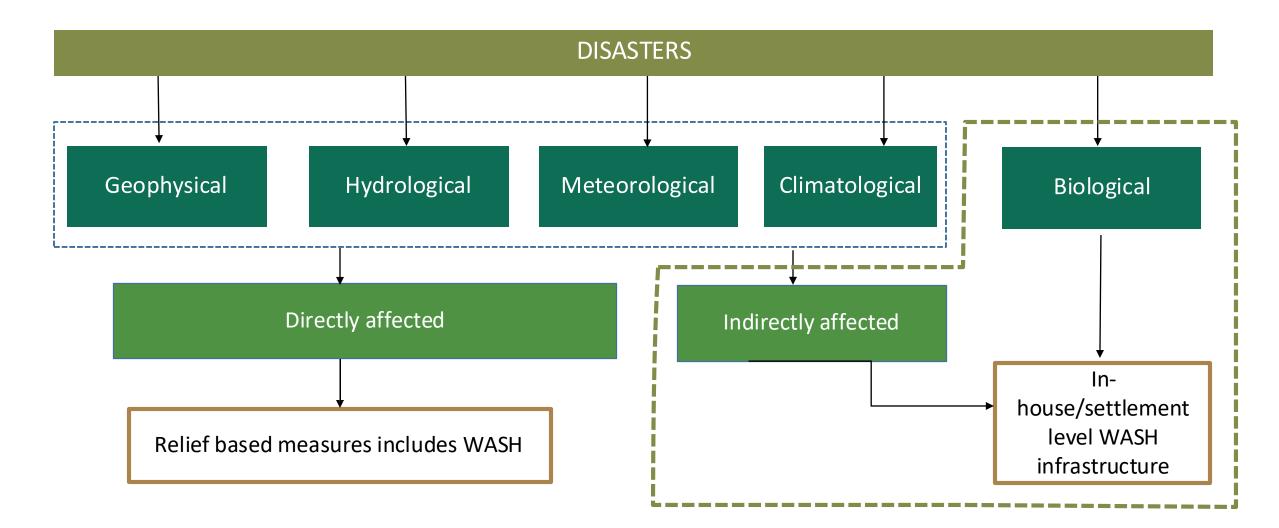
Sr. No	Disasters as defined under NDMA 2019	Is WASH planning and intervention required?
1	Cyclone and Wind	Infrastructure full proofing, WASH access and interventions
2	Floods	Infrastructure full proofing, WASH access and interventions
3	Urban Floods	Infrastructure full proofing, WASH access and interventions
4	Seismic/ Earthquake	Infrastructure full proofing, WASH access and interventions
5	Tsunami	Infrastructure full proofing, WASH access and interventions
6	Landslides and Snow Avalanches	Infrastructure full proofing, WASH access and interventions
7	Drought	Access to water supply
8	Cold Wave and Frost	Infrastructure full proofing mostly
9	Thunderstorm, Lightning, Dust, Squall and Strong Winds	Infrastructure full proofing
10	Cloudburst and Hailstorm	Infrastructure full proofing, WASH access and interventions
11	Glacial Lake Outburst Flood (GLOF)	Infrastructure full proofing, WASH access and interventions
12	Heatwave	Access to water supply
13	Chemical (Industrial) Emergencies	Operational interventions in WASH infrastructure
14	Nuclear and Radiological Emergencies	Operational interventions in WASH infrastructure
15	Biological and Public Health Emergencies (BPHE)	Operational interventions in WASH infrastructure



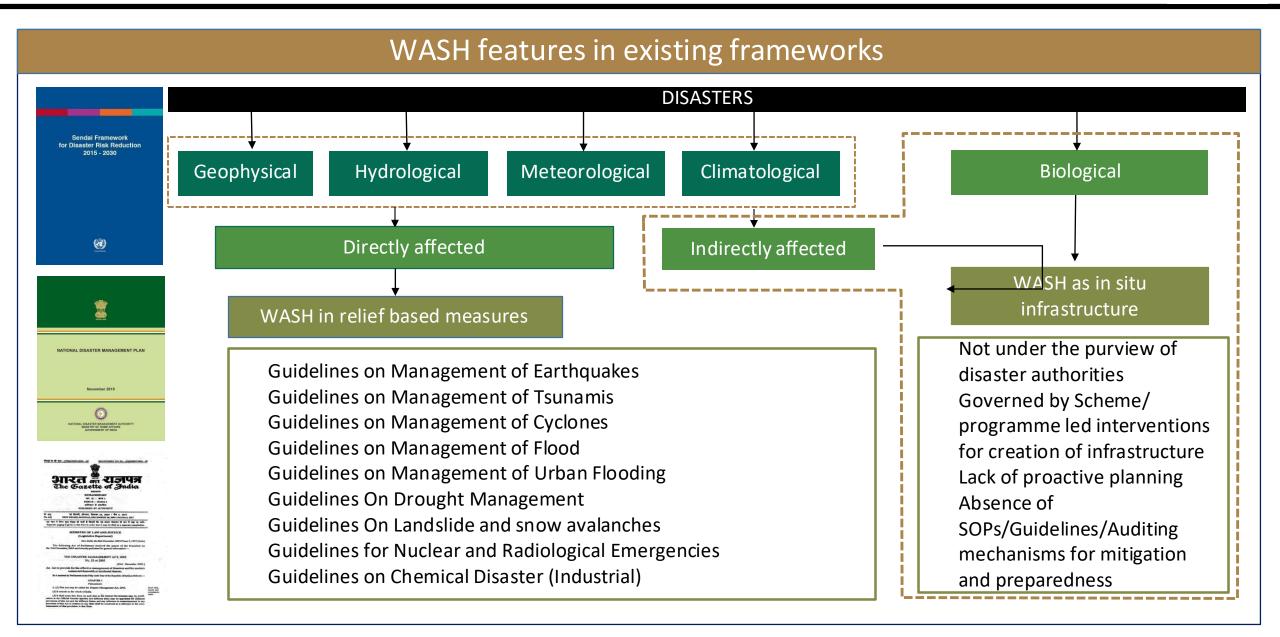
Review of Literature, Initial Assessment & Conceptual Framework



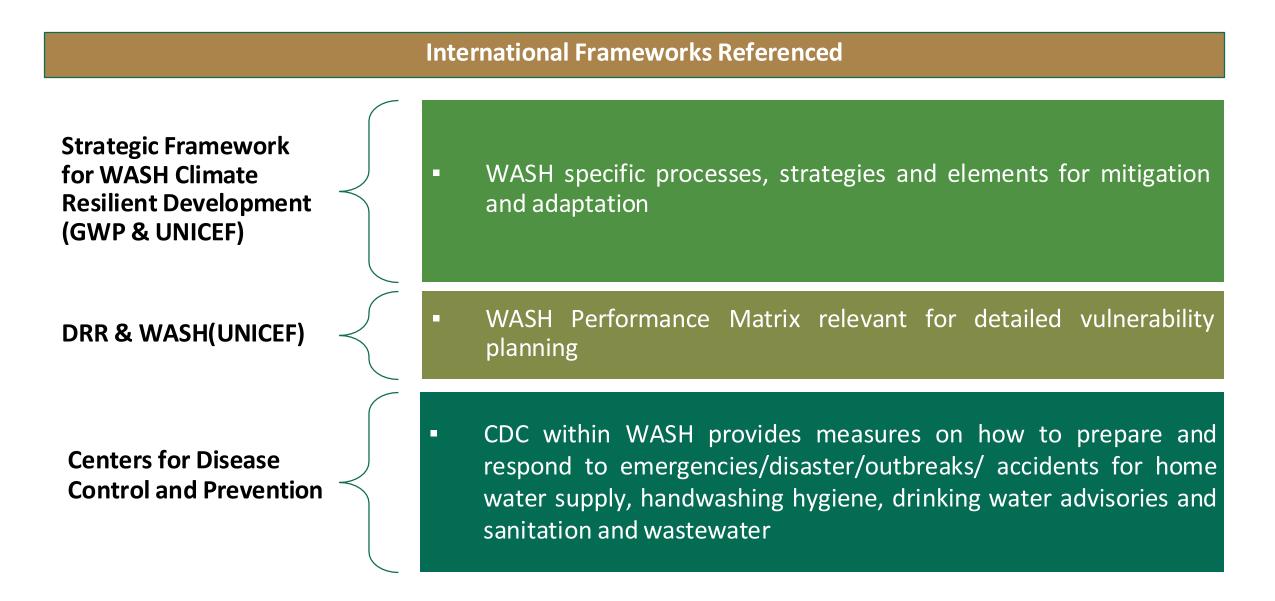














Objective: The objective of this exercise is to prioritise sustained adequate interventions on WASH as an integral component within the DRR framework so as to minimise the adverse impact of disaster.

Scope:

- Review the available documents like current national and state policies, guidelines and regulations on WASH during disaster published by NIDM and NDMA, the SOPs published by the MoDWS, Government orders on Rural drinking water supply and sanitation, DRR framework for Urban planning, NDMP 2019 and any other relevant document to identify the gaps related to water supply, FSM, toilet, waste water treatment and solid waste management.
- Review the institutional roles and responsibilities on WASH during disaster at national, state, district and local government level to identify the current institutional arrangement and suggest recommendations if required.
- Review the available international frameworks and identify best cases.
- Provide recommendation for inclusion of WASH in Disaster Risk Reduction across institutional, infrastructure, financial, communication, inclusivity, capacity building and M&E by centre, state, and district/local government

Limitations:

- The recommendation lists is limited to identifying the gaps in the current DRR framework and ongoing initiatives of GoI on WASH.
- The details of the recommendations will follow based on inputs from national key stakeholders.

Our recommendations are focused on mainstreaming WASH in the approach and components of NDMP 2019



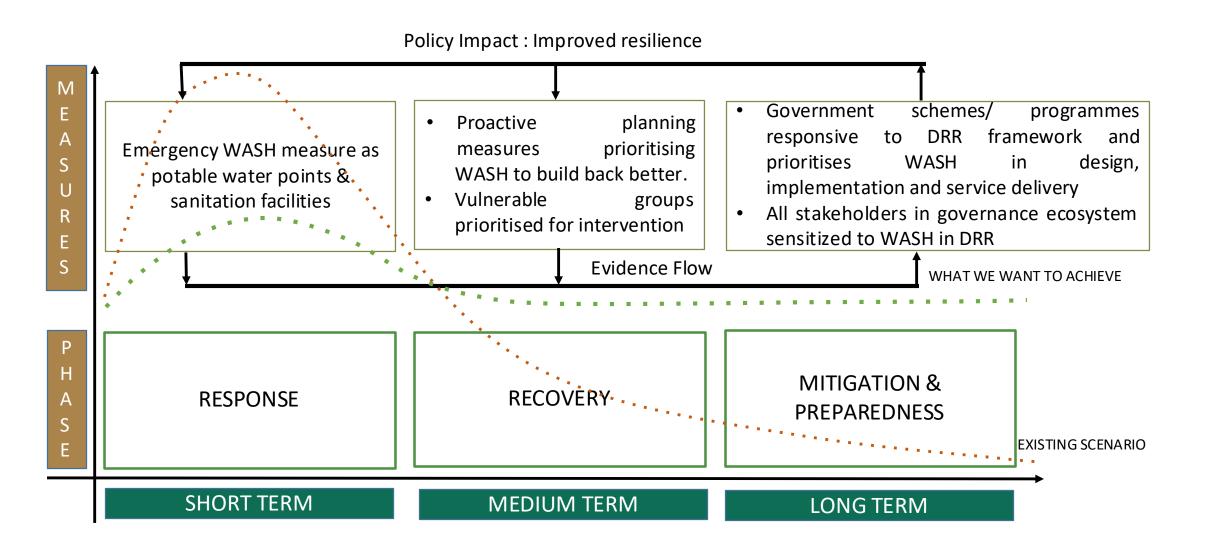
Approach

Mainstreaming WASH in DRR framework taking the NDMP 2019 as the base document to address the identified gaps, from best practices .

Each stage will also highlight specific recommendations for small and medium cities (population less than 1 lac)

The interventions will also be aligned with the broader scope of SBM and JJM, wherever possible

Key Components			
Risk Assessment & Mitigation (Prevention and Risk Reduction) at Centre, State and ULB level	Preparedness at Centre, State and ULB level		
Response at Centre, State and ULB level	Recovery (Immediate restoration and build- back better) at Centre, State and ULB level		







Recommendations for Inclusion of New WASH Related Measures





include role of governments	Mitigation (Prevention and Risk Reduction)	Preparedness	Response	Recovery (Immediate restoration and build-back better)
	Institutional Arrangement			
datio & loc	Capacity Building			
nmenc state	Finances			
comr ral, s	Inclusivity			
All recommendations central, state & local	Infrastructure			
*		ications		

CAPACITY BUILDING



Include WASH preparedness and mitigation modules for all the identified disasters in existing training plans at all levels with special focus on the role of communities.

CENTRE	NDMA and NIDM to compile relevant content and case studies (highlighting learnings from communities especially in vulnerable areas of different disasters) into training modules in consultation with states, Identification of organizations, NGOs for community level TOT and doing ToT of state training institutes.	All empaneled training institutes to include DRR for WASH as part of all DRR training curriculum.	
STATE	Engagement of state training institutes to develop relevant WASH training modules, including focus on solutions geared for local actions for WASH professionals, community leaders, sanitation workers, volunteers and commnitites managing WASH infrastructure.	Formulation of response protocols for training city officials by SDMA on WASH	
DISTRICT/ULB	Imparts periodic training to the WASH workers, community leaders, volunteers and the communities managing community based WASH infrastructure on safety measures to be adopted, usage of protective equipment, and occupational safety while ensuring minimal disruption in sanitation services	Sensitisation of the community/RWA on the emergency response plan as directed by the center	
	SHORT TERM	MEDIUM TERM	LONG TERM



WASH should be made an integral component in DRR. Each nodal ministry responsible for preparation of specific disaster plan must work with MoHUA or MoJS to include strategy for preparedness and mitigation on WASH

CENTRE	Mandatory inclusion of WASH professionals as a part of NDMA and SEC in alignment to DM Act.	NDMA, NIDM and nodal Ministries should strive for engagement and coordination of different departments within the different tiers of urban governance through guidelines and templates	Disaster Management Act, WASH schemes(JJM, SBM) and national policies on WASH should include planning and mitigation measures on WASH during disaster.
STATE		States should mandate WASH professionals from the cities and communities and incorporate WASH in the state disaster management plans.	
DISTRICT/ULB	City Sanitation Taskforce to comply with state disaster management plans on delivering of WASH services during disaster.	Monitoring mechanism or IDCs in place should include WASH indicators.	As per State plans, District and ULBs to develop DM plans including WASH in them along the lines of state DM plans.
	SHORT TERM	MEDIUM TERM	LONG TERM



M&E to include assessing the preparedness of WASH solutions through third party auditing

CENTRE		Formulation of frameworks and guidelines/SOPs for monitoring and evaluation of WASH services, audits of disaster resilient WASH infrastructure and procedures to be followed	
		M&E to include assessing WASH solutions and preparedness for poor communities, M&E mechanisms should be gender intentional and collect sex and income disaggregated data	
STATE	State level helplines and database to include monitoring of WASH services	SEC should implement third party audits of all WASH infrastructure including preparedness of urban poor settlements to disaster. The concerned departments to ensure strict enforcement of the recommendations.	Updating of state level plan based on the periodic need assessment with a focus on WASH infrastructure and service delivery
DISTRICT/ULB	Data collection and input into state database focusing on WASH, gender and urban poor communities	Undertaking periodic need assessment and implement drills within the utilities	Any online portal used for tracking of disaster preparedness or for monitoring should include WASH infrastructure
	SHORT TERM	MEDIUM TERM	LONG TERM



Communication framework to be developed on WASH for mitigation, preparedness and emergency response and disseminated to frontline workers and officials

CENTRE	Communication framework to be developed on WASH for mitigation and preparedness. WASH should be included in existing IEC/ awareness campaigns including special campaigns in schools	Integration of ICT for maintaining disaster resilient community WASH infrastructure within Central government schemes (SBM/JJM etc)	Issue Communication protocol for different departments – utilities, private sector, administration, IT, suppliers, etc. as identified
STATE	Develop of IEC material on need for safe WASH practices and enable early warning wherever applicable.	Communication protocol for emergency response for WASH to be developed and disseminated to frontline workers and officials (including emergency infrastructure, provision of WASH services during disasters and supply of relief materials eg. PPE kits)	Develops IEC material for awareness campaigns for community on mostly prevention of diseases and other ensuing public health emergencies
DISTRICT/ULB	 Conduct periodic awareness campaigns on safe WASH for communities especially the most vulnerable settlements. Display contact details of responsible city officials for emergency in all public facilities 	Undertake IEC campaigns on preparedness needed for handling safe WASH practices during emergencies.	Developing heat-health action plans which use meteorological information to enhance early warning and effective response over a range of time scales
	SHORT TERM	MEDIUM TERM	LONG TERM



The specification for the design of WASH infrastructure specification to mandatorily consider local identified disaster and SOPs to include preparedness and response/recovery				
•	Directives to include Emergency Response plan for all buildings- as part of building byelaw - for WASH infrastructure	Publicly funded schemes for creating WASH		
CENTRE	 Standards for land use planning for the site to include WASH infrastructure such as FSTP, WTP, STP, decanting stations etc. Protocols to ensure that relocation site has adequate WASH facilities in proportion to the population impacted 	infrastructure to consider local disaster issues and to mandate specifications for disaster resilient/local materials, technology and design as part of the tender documents	Develop minimum WASH standards, at HH/community level, public spaces and institutional buildings, with special focus on vulnerable settlements	
STATE	Identifying decentralised WASH systems and mandate that SOPs in accordance with DRR measures are developed by District/ ULBs		 Enforce standards on design for building disaster resilient WASH infrastructure and identify necessary technology and innovations in state specific contexts Issue guidelines for deploying such technologies 	
DISTRICT/ULB	 Implementation of WASH Supply chain mobilization plan Call centre to address grievances related to WASH infrastructure deploy mobile WASH systems as emergency response 	 Identification of local needs and materials considered in infrastructure design Identification and empanelment of vendors for emergency procurement of WASH interventions. 	Standards on specifications included in the tenders for identified disaster type for region Execution of innovative disaster resilient infrastructure and technology	
	SHORT TERM	MEDIUM TERM	LONG TERM	



Earmark funds for DRR and ensure convergence with schemes such as SBM, JMM

CENTRE	Central Finance Commission to earmark funds for DM with dedicated intergovernmental transfers for disaster resilient WASH with priority to small and medium towns and disaster prone regions. WASH-related schemes/guidelines (eg. JJM/ SBM) developed by MoJ, MoHUA (or similar authorities) to include a component on WASH and DRR, including mechanisms to finance WASH resilient infrastructure and service delivery, and diverting funds during an emergency		NDMP and SDMP to include social safety net program such that vulnerable groups can afford basic services including WASH during disasters
STATE	Setting up state level emergency fund/ leveraging Chief Minister's Relief Fund (as relevant) to allocate resources for WASH in emergencies; in accordance with state DM plans and vulnerability assessment. Emergency protocols for disbursement to ULBs, empowering ULBs with authority for governing this fund including allocations for WASH in DRR and periodic audits	State Finance Commission to earmark funds for DM and disaster resilient WASH with priority to small and medium towns and disaster prone regions. State convergence with schemes such as JMM and SBM to access funding requirement	
DISTRICT/ULB	Utilization of emerg e ncy funds towards provision for basic services including WASH during disasters	Earmark funds and ensure utilization of central and state funds towards DRR and WASH. Development of Annual Financial plans – for resilient WASH infrastructure and service delivery with focus on urban poor settlements, including mitigation and preparedness initiatives	
	SHORT TERM	MEDIUM TERM	LONG TERM



The I	The DM plans to be inclusive considering gender, differently abled and underserved and marginalized communities, ensuring equity in WASH service provision during disasters				
		National policies -NUSP/ NDMP to mandate minimum standards on access & services to vulnerable groups during disasters.			
CENTRE		DRR guidelines to be gender intentional (including a gender responsive process and gender integrated in risk profiling / vulnerability assessments that inform DRR plans) Guidelines on occupational safety to community led groups/ frontline workers	NDMA to mandate guidelines/templates on DM plans to mandatorily have sections on underserved communities - assessing risk groups, including communities in response and ensuring equal access during disaster		
STATE	Conduct needs assessment with respect to marginalized groups and map out vulnerable populations based on disaster type	Prepare DM plan based on the need assessment to prioritize vulnerable groups	Post-event assessment of environmental and socioeconomic damage, provision of guidance materials on community damage and demand analysis		
DISTRICT/ULB	Collate sex and income disaggregated data and submit to state for preparing DM plan, highlighting gaps in WASH infrastructure and services	Based on assessment, prepare DM plans and plan on how WASH services will reach marginalized groups during disasters + ensure provision of safety for frontline workers	Vulnerable prioritized in response and have access to information on location of supplies, WASH services and risks, community engaged in response WASH / DRR innovations to be gender intentional & reach urban slums		
	SHORT TERM	MEDIUM TERM	LONG TERM		



THANK YOU

