

# NFSSM Alliance & CPHEEO Disaster Risk Reduction and Water, Sanitation & Hygiene

#### **Presenters**

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## In 2016, 30+ leading organizations in the sanitation space came together to inform policy and support governments at the national, state and city level via collaborative action



#### **Need for Collaboration**

In 2016, while organizations in India were doing exemplary work focused on sanitation, there was a need for a unified voice to inform policy, build evidence and engage with the government for safe sanitation

Recognizing this need, the NFSSM Alliance was formed with support from the Bill and Melinda Gates Foundation to build consensus and drive the discourse on FSSM forward in India



#### Who we are

A collaborative-action is now a think tank comprising of **30+ national expert organizations** working in the Indian water and sanitation space. The Alliance's 4 Taskforces support Government of India via evidence and policy recommendations.

#### **Inclusive Taskforce**

Addresses issues critical to meet the sanitation needs of the urban poor, gender, and other socially marginalized groups

#### Innovation & Technology Taskforce

Addresses challenges of scalability through innovative solutions and new technology tools

#### **ULB Strengthening Taskforce**

Addresses the urban governance issues that impede the delivery of quality sanitation services in cities and towns

Communications Taskforce
Addresses the need of
mainstreaming sanitation amongst
the government machineries,
private sector and citizens

## The NFSSM Alliance has undertaken a study for inclusion of WASH in Disaster Risk Reduction (DRR) efforts of the Government of India



- In the absence of resilient WASH infrastructure and service delivery, disasters have a significant multiplier effect on adversity and existing WASH investments
- The NFSSM Alliance has mapped **WASH interventions required for each of the 15 types of disasters** defined by NDMA and **MoHUA** plays a key role **in managing floods in India**
- Current Gol DRR Framework is **heavily focused on relief based WASH measures** & there is a need to integrate WASH in mitigation, preparedness & recovery through **proactive planning**
- Our recommendations drawn from the study focus on inclusion of WASH across various aspects of disaster management, and levels of governments (including best practices from International Frameworks)
- As the technical wing of MoHUA and given its Public Health & Environmental Engineering expertise, CPHEEO can play a key role in strengthening WASH focus in disaster management

## In the absence of resilient WASH infrastructure and service delivery, disasters have a significant multiplier effect on adversity and existing WASH investments



India is one of the most disaster-prone countries in the world due to its **geo-climatic conditions** as well as a high degree of **socio-economic vulnerability** (NDMP 2019)

#### **Increased Human Adversity**



the number of people affected due to disasters beyond direct affected victims, especially for vulnerable groups such as women, children and urban poor

#### **Impact on WASH Investments**



Significant investment in WASH made by the Government of India can be reversed due to disasters, if DRR measures are not taken into account upfront and resilient systems are not built

#### **Effect on Health Outcomes**



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## The NFSSM Alliance has mapped WASH interventions required for each of the 15 types of disasters defined by NDMA and MoHUA plays a key role in managing floods in India



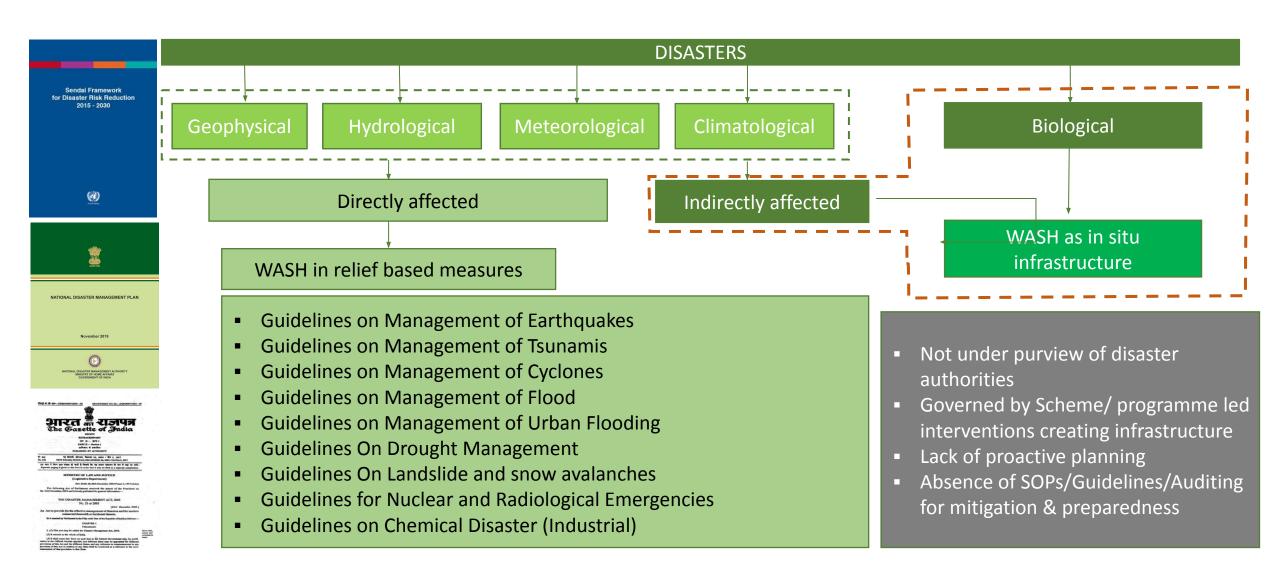
Disasters (NDMA 2019)	Is WASH planning and intervention required?
Cyclone and Wind	Infrastructure full proofing, WASH access and interventions
Floods	Infrastructure full proofing, WASH access and interventions
Urban Floods	Infrastructure full proofing, WASH access and interventions
Seismic/ Earthquake	Infrastructure full proofing, WASH access and interventions
Tsunami	Infrastructure full proofing, WASH access and interventions
Landslides and Snow Avalanches	Infrastructure full proofing, WASH access and interventions
Drought	Access to water supply
Cold Wave and Frost	Infrastructure full proofing mostly
Thunderstorm, Lightning, Dust, Squall and Strong Winds	Infrastructure full proofing
Cloudburst and Hailstorm	Infrastructure full proofing, WASH access and interventions
Glacial Lake Outburst Flood (GLOF)	Infrastructure full proofing, WASH access and interventions
Heatwave	Access to water supply
Chemical (Industrial) Emergencies	Operational interventions in WASH infrastructure
Nuclear & Radiological Emergencies	Operational interventions in WASH infrastructure
Biological & Public Health Emergencies (BPHE)	Operational interventions in WASH infrastructure

#### **Current institutional arrangements**

- NDMA has defined 15 types of disasters, each intersecting with WASH and require specific interventions
- Nodal ministries are responsible for specific disaster types - MoHUA is responsible for floods as per the current DRR framework
- The current institutional structure and steering committee does not have a WASH representative responsible for managing disasters from a WASH lens

## Current GoI DRR Framework is heavily focused on relief based WASH measures & there is a need to integrate WASH in mitigation, preparedness & recovery through proactive planning





## We have also analyzed international frameworks to identify best practices for inclusion of WASH in DRR, learnings from have strengthened our recommendations



#### **International Frameworks Referenced**

International frameworks focus on instituting key mechanisms for managing and planning for WASH across various stages of disasters

Centers for Disease Control and Prevention

**DRR & WASH (UNICEF)** 

Strategic Framework for WASH
Climate Resilient Development (GWP
& UNICEF)

#### **Best Practices Identified in Indian Context**

Specific states in India have adopted innovations to manage context-specific disasters

Emergency flood relief response in Kerala through Mobile Septage Treatment Unit

Development of a Disaster Resilient Toilet (DRT) in Assam and Gujarat COVID Response focused on WASH in Maharashtra urban slums

#### Our recommendations drawn from the study focus on inclusion of WASH across various aspects of disaster management, and levels of governments



#### **Institutional Arrangement**

WASH to be an integral component in DRR. Each nodal ministry responsible for preparation of specific disaster plan to work with MoHUA / MoJS to include strategy for WASH resilience

#### Infrastructure

Design specifications of WASH infrastructure to mandatorily consider local identified disaster and SOPs to include resilience preparedness and response/recovery (in line with government missions)

#### **Capacity Building**

Include WASH preparedness and mitigation modules for all the identified disasters in existing training plans at all levels with special focus on the role of communities.

#### **Monitoring and Evaluation**

M&E to include assessing the preparedness of WASH solutions through third party auditing

#### **Communications**

Communication framework to be developed on WASH for mitigation and preparedness, with campaigns focused on Household and community WASH infrastructure

#### **Finances**

Earmark funds for DRR, ensure devolution of funds from centre to state/cities, and ensure convergence with schemes such as SBM, JMM

#### **Inclusivity**

Official plans to be based on needs conducted with regard to gender, urban poor and marginalized groups, detailing out inclusive infrastructure design and planning

To be mainstreamed across mitigation, preparedness, response and recovery





**DRR Functions** 

# **Additional Focus**

#### Response

- Prepare guidelines & standards to ensure provision of minimum WASH services
- Assistance in developing training modules for district and city DM unit to provide WASH response

#### Recovery

 Develop SOP for operations on measures to restart WASH operations - depending on impact to infrastructure

#### Mitigation and Preparedness

- Develop of standards and specifications
   for each type of disaster to be included
   in tender documents
- Develop SOPs for WASH facilities for disaster preparedness

#### Technical Advise

rovide advisory
to all nodal
ministries (eg.
MoHFW, MoEFCC,
MoJS) on WASH
integration in DRR
frameworks

### Advisories & Technical Manuals

Develop manuals for state PHED / Water Boards / ULBs for planning, designing, construction, O&M of disaster-resilient WASH

#### **City Development Plans**

Provide a checklist of items that need to be included from a WASH infrastructure perspective (under National Mission on Sustainable Habitat)

## Collaboration with ICMR, CSIR, WHO

Formulate & implement operational research projects towards
WASH in DRR

## Collaboration with BIS

Prepare various
 standards /
 specifications
for WASH in DRR

The NFSSM Alliance would be happy to support CPHEEO in developing tools and guidelines to ensure inclusion of WASH in Disaster Risk Reduction in India



#### **Annexure (Detailed Recommendations)**



#### Strategic Framework for WASH Climate Resilient Development (GWP & UNICEF)

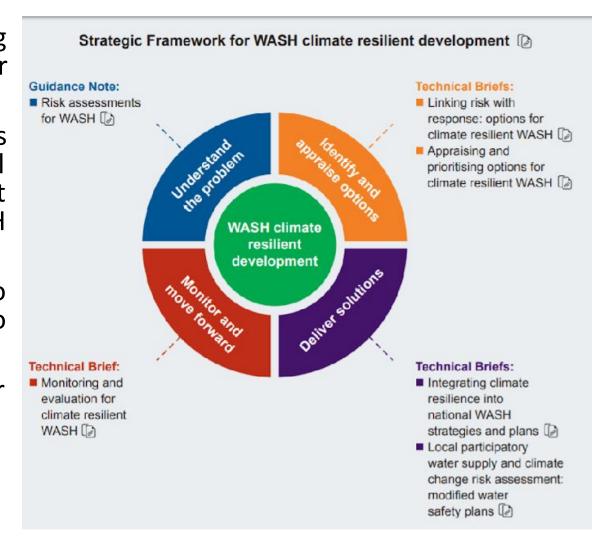
Climate resilience is addressed as a cross-cutting issue encompassing elements of both disaster risk reduction and climate change adaptation

It serves to set out the rationale and concepts for WASH climate resilient development, as well as improve understanding of how to ensure that climate resilience is considered in WASH strategies, plans and approaches

The objective of the Strategic Framework is to support WASH service delivery that is resilient to the climate, both now and in the future.

The Strategic Framework is centred around four quadrants of activity

( 4 Technical Briefs details the framework)



#### **Disaster Risk Reduction Framework from UNICEF**



#### **Disaster Risk Reduction and WASH**



**Disaster risk** is the potential loss expressed in lives, health status, livelihoods, assets and services, which could occur in a particular community or a society due to the impact of a natural hazard.

Disaster risk reduction (DRR) is a systematic approach to identifying, assessing and reducing that risk. Specifically, the purpose of disaster risk reduction is to minimise vulnerabilities and disaster risks throughout a society in order to avoid (prevent) or to limit (mitigate and prepare for) the adverse impacts of natural hazards, and facilitate sustainable development.



#### Introduction to DRR and WASH

- WASH services are critical to communities and save lives in both routine and emergency situations.
- Natural hazards can compromise WASH infrastructure and service delivery and this interruption of WASH services can increase the number of affected people beyond the area of direct impact.
- DRR interventions are necessary to protect WASH investments and should therefore be integrated into 'regular' development work, rather than viewed as a separate or additional task.
- It is essential that new vulnerabilities are not introduced, or reinforced, through new or existing WASH services.

#### Child-centred DRR requires focusing on the specific risks faced by children, as well as involving children in efforts and initiatives to reduce disaster risk.

Disasters negatively impact children's and women's rights, disproportionately affect poor countries and poor communities, erode development gains and set back progress in achieving the Millennium Development Goals (MDGs). With its local and national presence before, during and after disasters, and working across all key programme sectors, UNICEF is ideally placed to address disaster risk and to undertake risk reduction measures.

#### UNICEF's DRR goals:

- DRR for children and women is a national and local priority
- Different risks faced by girls, boys, adolescents and women are identified and addressed.
- Safer and more resilient conditions for girls, boys and women
- Strengthened humanitarian preparedness, response and recovery through capacity development

For further information, please refer to the DRR and WASH Technical Note or contact Andrew Colin Parker (acparker@unicef.org) and Antony Spalton (aspalton@unicef.org)

Type of activity	Examples of key DRR and WASH actions
Prevention/ Mitigation	<ul> <li>Analyse disaster risks to WASH infrastructure, services and behaviours at institutional, community and individual levels, incorporating potential impacts of climate change.</li> <li>Carry out vulnerability and capacity assessments of water and sanitation systems and practices to assess ability to meet essential needs in the event of a disaster.</li> <li>Design, build, locate and maintain water and sanitation systems which include modifications to mitigate risks.</li> </ul>
Preparedness	<ul> <li>Develop and communicate preventive messaging on water usage, sanitation and hygiene in disaster situations.</li> <li>Prepare systems for "emergency mode" to re-establish – in the shortest time possible – a minimum of service to reduce impacts on communities.</li> <li>Ensure WASH sector is linked to existing early warning systems at the national, sub-national and community level.</li> </ul>
Response/ Early Recovery	<ul> <li>Analyse why infrastructure was damaged or interrupted and investigate suitable ways to modify existent/future systems against this damage.</li> <li>Ensure that recovery efforts focus on 'building back better', including measures to prevent or mitigate future disaster risk, incorporate previous development and emergency hygiene programmes, and focusing on building the resilience of communities to potential future hazards.</li> <li>Analyse why WASH-related behaviours failed and investigate suitable programmes to build community resilience.</li> </ul>

## DRR & WASH (UNICEF)

#### Disaster Risk Reduction Framework from Center for Disease Control and Prevention



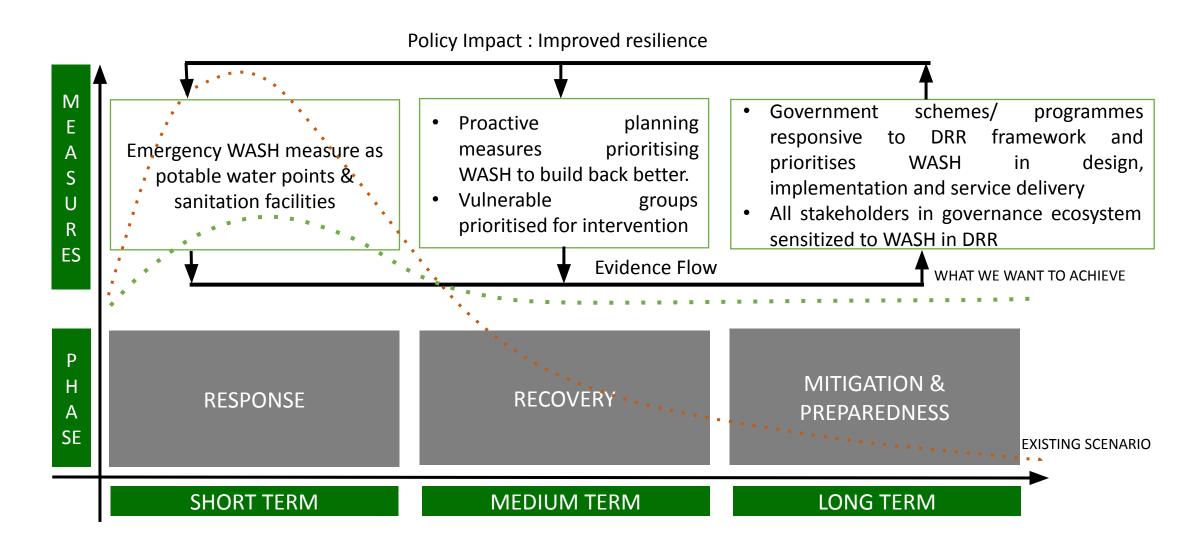
#### **Centers for Disease Control and Prevention**

CDC within WASH provides measures on how to prepare and respond to emergencies/disaster/outbreaks/accidents for home water supply, handwashing hygiene, drinking water advisories and sanitation and wastewater.

- Home water supply provides guidance on making water safe in emergency, emergency water supply and storage containers and finding other water sources in an emergency
- Drinking water Gives guidance to individuals using private wells, cisterns or other rain water catchment systems on how to prepare for emergency and disinfect these water sources after an emergency situation. They also provide guidance for preparation of plans for community drinking water systems.
- Sanitation and wastewater The advisory provides guidance and links for septic and onsite wastewater system, community wastewater system, guidance to sanitation workers to mitigate health risks when handling human waste or sewage and water contamination from animal feeding operations
- Handwashing hygiene Gives guidance on when you should wash hands and measures when you don't have soap and clean water. Also provides guidance on bathing, dental hygiene, wound care and diapering.

#### **Conceptual Framework for Recommendations**

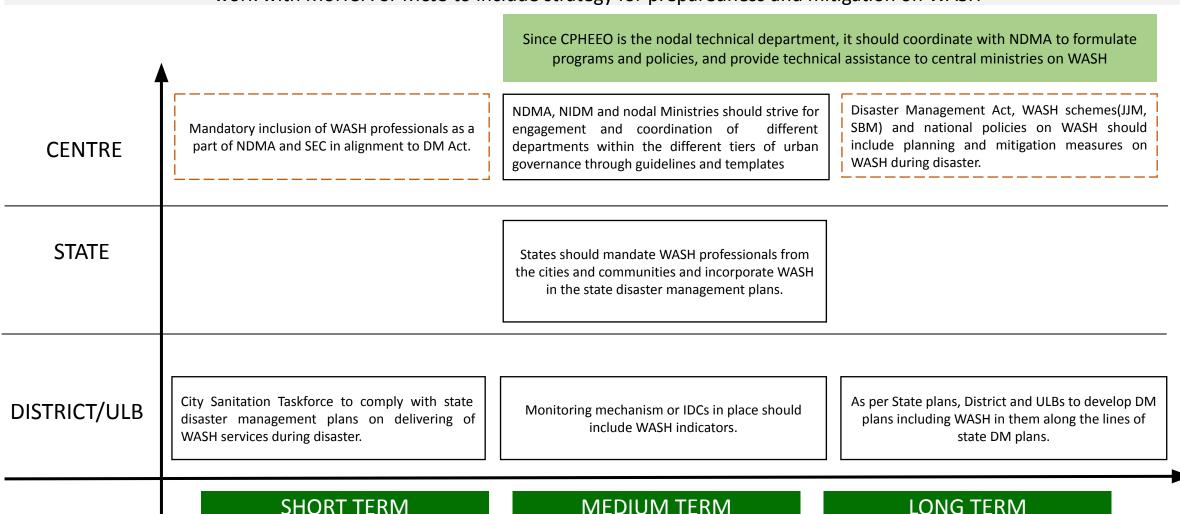




#### **Recommendations on Institutional Arrangements**



WASH should be made an integral component in DRR. Each nodal ministry responsible for preparation of specific disaster plan must work with MoHUA or MoJS to include strategy for preparedness and mitigation on WASH



#### **Recommendations on Infrastructure**



The specification for the design of WASH infrastructure specification to mandatorily consider local identified disaster and SOPs to include preparedness and response/recovery

include preparedness and response/recovery			
	Directives to include Emergency Response plan for all buildings- as part of building byelaw - for WASH infrastructure	The CPHEEO manuals should have separate section on adaptation of response mechanisms for natural as well as biological disasters	
CENTRE	<ul> <li>Standards for land use planning for the site to include WASH infrastructure such as FSTP, WTP, STP, decanting stations etc.</li> <li>Protocols to ensure that relocation site has adequate WASH facilities in proportion to the population impacted</li> </ul>	Publicly funded schemes for creating WASH infrastructure to consider local disaster issues and to mandate specifications for disaster resilient/local materials, technology and design as part of the tender documents	develop minimum WASH standards, at HH/community level, public spaces and institutional buildings, with special focus on vulnerable settlements
STATE	Identifying decentralised WASH systems and mandate that SOPs in accordance with DRR measures are developed by District/ ULBs		<ul> <li>Enforce standards on design for building disaster resilient WASH infrastructure and identify necessary technology and innovations in state specific contexts</li> <li>Issue guidelines for deploying such technologies</li> </ul>
DISTRICT/ULB	<ul> <li>Implementation of WASH Supply chain mobilization plan</li> <li>Call centre to address grievances related to WASH infrastructure</li> <li>deploy mobile WASH systems as emergency response</li> </ul>	<ul> <li>Identification of local needs and materials considered in infrastructure design</li> <li>Identification and empanelment of vendors for emergency procurement of WASH interventions.</li> </ul>	<ul> <li>Standards on specifications included in the tenders for identified disaster type for region</li> <li>Execution of innovative disaster resilient infrastructure and technology</li> </ul>
	SHORT TERM	MEDIUM TERM	LONG TERM

#### **Recommendations on Capacity Building**



Include WASH preparedness and mitigation modules for all the identified disasters in existing training plans at all levels with special focus on the role of communities.

	and sanitation services  SHORT TERM	MEDIUM TERM	LONG TERM
DISTRICT/ULB	Imparts periodic training to the WASH workers, community leaders, volunteers and the communities managing community based WASH infrastructure on safety measures to be adopted, usage of protective equipment, and occupational safety while ensuring minimal disruption in water	Sensitisation of the community/RWA on the emergency response plan as directed by the center	
STATE	Engagement of state training institutes to develop relevant WASH training modules, including focus on solutions geared for local actions for WASH professionals, community leaders, sanitation workers, volunteers and commnitites managing WASH infrastructure.	Formulation of response protocols for training city officials by SDMA on WASH	
CENTRE	different disasters) into training modules in consultation with states, Identification of organizations, NGOs for community level TOT and doing ToT of state training institutes.	All empaneled training institutes to include DRR for WASH as part of all DRR training curriculum.	  - 
	NDMA and NIDM to compile relevant content and case studies ( highlighting learnings from communities especially in vulnerable areas of	Aspects of WASH as a part of DRR should be included as a part of training programmes under CPHEEO	

#### **Recommendations on Monitoring and Evaluation**



#### M&E to include assessing the preparedness of WASH solutions through third party auditing

CENTRE		audits of disaster resilient WASH infrastructure and procedures to be followed  M&E to include assessing WASH solutions and preparedness for poor communities, M&E	
		mechanisms should be gender intentional and collect sex and income disaggregated data  SEC should implement third party audits of all WASH infrastructure including preparedness of	
STATE	State level helplines and database to include monitoring of WASH services	urban poor settlements to disaster. The concerned departments to ensure strict enforcement of the recommendations.	Updating of state level plan based on the periodic need assessment with a focus on WASH infrastructure and service delivery
DISTRICT/ULB	Data collection and input into state database focusing on WASH, gender and urban poor communities	Undertaking periodic need assessment and implement drills within the utilities	Any online portal used for tracking of disaster preparedness or for monitoring should include WASH infrastructure
	SHORT TERM	MEDIUM TERM	LONG TERM

#### **Recommendations on Communications**



Communication framework to be developed on WASH for mitigation, preparedness and emergency response and disseminated to frontline workers and officials

CENTRE	Communication framework to be developed on WASH for mitigation and preparedness. WASH should be included in existing IEC/ awareness campaigns including special campaigns in schools	Integration of ICT for maintaining disaster resilient community WASH infrastructure within Central government schemes (SBM/JJM etc)	Issue Communication protocol for different departments – utilities, private sector, administration, IT, suppliers, etc. as identified
STATE	Develop of IEC material on need for safe WASH practices and enable early warning wherever applicable.	Communication protocol for emergency response for WASH to be developed and disseminated to frontline workers and officials (including emergency infrastructure, provision of WASH services during disasters and supply of relief materials eg. PPE kits)	Develops IEC material for awareness campaigns for community on mostly prevention of diseases and other ensuing public health emergencies
DISTRICT/ULB	<ul> <li>Conduct periodic awareness campaigns on safe WASH for communities especially the most vulnerable settlements.</li> <li>Display contact details of responsible city officials for emergency in all public facilities</li> </ul>	Undertake IEC campaigns on preparedness needed for handling safe WASH practices during emergencies.	Developing heat-health action plans which use meteorological information to enhance early warning and effective response over a range of time scales
	SHORT TERM	MEDIUM TERM	LONG TERM

#### **Recommendations on Financial Arrangements**



#### Earmark funds for DRR and ensure convergence with schemes such as SBM, JMM

CENTRE	Central Finance Commission to earmark funds for DM with dedicated intergovernmental transfers for disaster resilient WASH with priority to small and medium towns and disaster prone regions.  WASH-related schemes/ guidelines (eg. JJM/ SBM) developed by MoJ, MoHUA (or similar authorities) to include a component on WASH and DRR, including mechanisms to finance WASH resilient infrastructure and service delivery, and diverting funds during an emergency		NDMP and SDMP to include social safety net program such that vulnerable groups can afford basic services including WASH during disasters
STATE	Setting up state level emergency fund/ leveraging Chief Minister's Relief Fund (as relevant) to allocate resources for WASH in emergencies; in accordance with state DM plans and vulnerability assessment.  Emergency protocols for disbursement to ULBs, empowering ULBs with authority for governing this fund including allocations for WASH in DRR and periodic audits	State Finance Commission to earmark funds for DM and disaster resilient WASH with priority to small and medium towns and disaster prone regions. State convergence with schemes such as JMM and SBM to access funding requirement	
DISTRICT/ULB	Utilization of emergency funds towards provision for basic services including WASH during disasters	Earmark funds and ensure utilization of central and state funds towards DRR and WASH. Development of Annual Financial plans – for resilient WASH infrastructure and service delivery with focus on urban poor settlements, including mitigation and preparedness initiatives	
	SHORT TERM	MEDIUM TERM	LONG TERM

#### **Recommendations on Inclusive Planning**



The DM plans to be inclusive considering gender, differently abled and underserved and marginalized communities, ensuring equity in WASH service provision during disasters

		National policies -NUSP/ NDMP to mandate minimum standards on access & services to vulnerable groups during disasters.	
CENTRE		DRR guidelines to be gender intentional (including a gender responsive process and gender integrated in risk profiling / vulnerability assessments that inform DRR plans)	NDMA to mandate guidelines/templates on DM plans to mandatorily have sections on underserved communities - assessing risk groups, including communities in response
		Guidelines on occupational safety to community led groups/ frontline workers	and ensuring equal access during disaster
STATE	Conduct needs assessment with respect to marginalized groups and map out vulnerable populations based on disaster type	Prepare DM plan based on the need assessment to prioritize vulnerable groups	Post-event assessment of environmental and socioeconomic damage, provision of guidance materials on community damage and demand analysis
DISTRICT/ULB	Collate sex and income disaggregated data and submit to state for preparing DM plan, highlighting gaps in WASH infrastructure and services	Based on assessment, prepare DM plans and plan on how WASH services will reach marginalized groups during disasters + ensure provision of safety for frontline workers	Vulnerable prioritized in response and have access to information on location of supplies, WASH services and risks, community engaged in response  WASH / DRR innovations to be gender intentional & reach urban slums
	SHORT TERM	MEDIUM TERM	LONG TERM